



**T30/07/24**

**REQUEST FOR PROPOSAL TO  
APPOINT A SERVICE PROVIDER TO  
CONDUCT AN IMPACT ASSESSMENT OF  
THE SOCIAL EMPLOYMENT FUND  
PROGRAMME**

**BID CLOSING DATE:**

**14 AUGUST 2024 AT 11:00 AM**

**TABLE OF CONTENTS**

<b>SECTION 1: GENERAL CONDITIONS OF BID</b>	<b>3</b>
1. PROPRIETARY INFORMATION	4
2. ENQUIRIES	4
3. BID VALIDITY PERIOD	4
4. INSTRUCTIONS ON SUBMISSION OF BIDS	4
5. PREPARATION OF BID RESPONSE	5
6. SUPPLIER PERFORMANCE MANAGEMENT	5
7. ENTERPRISE AND SUPPLIER DEVELOPMENT	5
8. IDC'S RIGHTS	6
9. UNDERTAKINGS BY THE BIDDER	6
10. REASONS FOR DISQUALIFICATION	7
11. RETURNABLE SCHEDULES	7
12. EVALUATION CRITERIA AND WEIGHTINGS	8
13. PROMOTION OF EMERGING BLACK OWNED SERVICE PROVIDERS	10
<b>SECTION 2: FUNCTIONAL REQUIREMENTS SPECIFICATION</b>	<b>11</b>
1. SPECIAL INSTRUCTIONS TO BIDDERS	12
2. BACKGROUND INFORMATION	12
3. SCOPE OF WORK/TERMS OF REFERENCE	13
4. PROJECT TIMELINES	17
5. TECHNICAL EVALUATION CRITERIA	17
<b>SECTION 3: COST PROPOSAL</b>	<b>21</b>
<b>SECTION 4: ANNEXURES</b>	<b>26</b>
<b>ANNEXURE 1: RESPONSE FORMAT FOR SECTION 2</b>	<b>27</b>
<b>ANNEXURE 2: ACCEPTANCE OF BID CONDITIONS AND BIDDER'S DETAILS</b>	<b>29</b>
<b>ANNEXURE 3: TAX COMPLIANCE REQUIREMENTS</b>	<b>31</b>
<b>ANNEXURE 4: BIDDER'S DISCLOSURE</b>	<b>32</b>
<b>ANNEXURE 5: SHAREHOLDERS AND DIRECTORS INFORMATION</b>	<b>34</b>
<b>ANNEXURE 6: BEE COMMITMENT PLAN</b>	<b>36</b>
<b>ANNEXURE 7: DISCLOSURE STATEMENT</b>	<b>37</b>
<b>ANNEXURE 8: PRIVACY &amp; PROTECTION OF PERSONAL INFORMATION ACT 4 OF 2013 REQUIREMENTS</b>	<b>38</b>

**SECTION 1: GENERAL CONDITIONS OF BID**

## SECTION 1: GENERAL CONDITION OF BID

---

### 1. PROPRIETARY INFORMATION

---

Industrial Development Corporation of SA Ltd (IDC) considers this Request for Proposal (RFP) and all related information, either written or verbal, which is provided to the respondent, to be proprietary to IDC. It shall be kept confidential by the respondent and its officers, employees, agents and representatives. The respondent shall not disclose, publish, or advertise this RFP or related information to any third party without the prior written consent of IDC.

### 2. ENQUIRIES

---

2.1. All communication and attempts to solicit information of any kind relative to this RFP should be channelled **in writing** to:

Name: Ms Sibongile Matshaya

Telephone Number: +27 11 269 4332

Email address: [SibongileM@idc.co.za](mailto:SibongileM@idc.co.za)

2.2. Enquiries in relation to this RFP will not be entertained after **16h00 on 08 August 2024**.

2.3. The enquiries will be consolidated, and IDC will issue one response and such response will be posted, within two days after the last day of enquiries, onto the IDC website ([www.idc.co.za](http://www.idc.co.za)) under tenders i.e., next to the same RFP document.

2.4. The IDC may respond to any enquiry in its absolute discretion and the bidder acknowledges that it will have no claim against the IDC on the basis that its bid was disadvantaged by lack of information, or inability to resolve ambiguities.

### 3. BID VALIDITY PERIOD

---

3.1. Responses to this RFP received from bidders will be valid for a period of **120** days counted from the bid closing date.

### 4. INSTRUCTIONS ON SUBMISSION OF BIDS

---

4.1. Bid responses must be submitted in electronic format only and must be e-mailed to the dedicated e-mail address as provided herein.

4.2. Bid responses should be in generally acceptable / standard electronic file format/s (i.e., Microsoft suite of products or pdf) to enable access thereto by the IDC for purposes of evaluating responses received. Where documents are presented in a format which cannot be accessed by the IDC through generally acceptable formats, such bid response will be disqualified.

4.3. The closing date for the submission of bids is **14 August 2024 not later than 11:00 AM** (before midday). No late bids will be considered. Bids must only be sent to [tenders@idc.co.za](mailto:tenders@idc.co.za). Bids sent to any other email address other than the one specified herein will be disqualified and will not be considered for evaluation. It is the bidder's responsibility to ensure that the bid is sent to the correct email address and that this is received by the IDC before the closing date and time in IDC's dedicated tender e-mail inbox / address [tenders@idc.co.za](mailto:tenders@idc.co.za).

4.4. Bidders are advised to submit / send its bid responses at least 30 minutes before the 11:00AM deadline to avoid any Information Technology (IT) network congestions or technical challenges in this regard which may result in bid responses being received late. IDC's e-mail servers are configured to receive e-mails with sizes up to 50MB.

4.5. The IDC will not be held responsible for any of the following:

4.5.1. bid responses sent to the incorrect email address;

4.5.2. bid responses being inaccessible due to non-standard electronic file formats being utilised to submit responses by bidders;

- 4.5.3. any security breaches and unlawful interception of tender / bid responses by third parties outside the IDC's IT network domain;
- 4.5.4. bid responses received late due to any IT network related congestions and/or technical challenges; and
- 4.5.5. bid responses with file size limits greater than IDC's e-mail receipt capacity of 50MB.
- 4.6. Only responses received via the specified email address will be considered.
- 4.7. Where a complete bid response (Inclusive of all relevant Schedules) is **not received** by the IDC in its electronic email tender box ([tenders@idc.co.za](mailto:tenders@idc.co.za)) by the closing date and time, such a bid response will be regarded as incomplete and late. Such late and / or incomplete bid will be disqualified. **It is the IDC's policy not to consider late bids for tender evaluation.**
- 4.8. Amended bids may be sent to the electronic tender box ([tenders@idc.co.za](mailto:tenders@idc.co.za)) **marked** "Amendment to bid" and should be received by the IDC **before** the closing date and time of the bid.

## 5. PREPARATION OF BID RESPONSE

---

- 5.1. All the documentation submitted in response to this RFP must be in English.
- 5.2. The bidder is responsible for all the costs that it shall incur related to the preparation and submission of the bid document.
- 5.3. Bids submitted by bidders which are companies or are comprised of companies must be signed by a person or persons duly authorised thereto by a resolution of the applicable Board of Directors, a copy of which Resolution, duly certified, must be submitted with the bid.
- 5.4. The bidder should check the numbers of the pages of its bid to satisfy itself that none are missing or duplicated. No liability will be accepted by IDC in regard to anything arising from the fact that pages of a bid are missing or duplicated.
- 5.5. Bidder's tax affairs with SARS must be in order (tax compliant status) and bidders must provide written confirmation to this effect as part of their tender response.
- 5.6. In the event that the bidding structure is a Prime Contractor with Sub-contractor/(s), then the Prime Contractor **must** hold the highest percentage allocation in terms of the value of the contract.

## 6. SUPPLIER PERFORMANCE MANAGEMENT

---

- 6.1. Supplier Performance Management is viewed by the IDC as a critical component in ensuring value for money acquisition and good supplier relations between the IDC and all its suppliers.
- 6.2. The successful bidder shall upon receipt of written notification of an award, be required to conclude a Service Level Agreement (SLA) with the IDC, which will form an integral part of the supply agreement. The SLA will serve as a tool to measure, monitor, and assess the supplier performance and ensure effective delivery of service, quality and value-add to IDC's business.
- 6.3. Successful bidders will be required to comply with the above condition, and also provide a scorecard on how their product / service offering is being measured to achieve the objectives of this condition.

## 7. ENTERPRISE AND SUPPLIER DEVELOPMENT

---

The IDC promotes enterprise development. In this regard, successful bidders may be required to mentor SMMEs and/ or Youth-Owned businesses. The implications of such arrangement will be subject to negotiations between the IDC and the successful bidder.

## **8. IDC'S RIGHTS**

---

- 8.1.** The IDC is entitled to amend any bid condition, bid validity period, RFP specification, or extend the bid closing date, all before the bid closing date. All bidders, to whom the RFP documents have been issued and where the IDC have record of such bidders, may be advised in writing of such amendments in good time and any such changes will also be posted on the IDC's website under the relevant tender information. All prospective bidders should therefore ensure that they visit the website regularly and before they submit their bid response to ensure that they are kept updated on any amendments in this regard.
- 8.2.** The IDC reserves the right not to accept the lowest priced bid or any bid in part or in whole. It normally awards the contract to the bidder who proves to be fully capable of handling the contract and whose bid is functionally acceptable and financially advantageous to the IDC.
- 8.3.** The IDC reserves the right to conduct site visits at bidder's corporate offices and / or at client sites if so required.
- 8.4.** The IDC reserves the right to consider the guidelines and prescribed hourly remuneration rates for consultants as provided in the National Treasury Instruction 02 of 2016/2017: Cost Containment Measures, where relevant.
- 8.5.** The IDC reserves the right to request all relevant information, agreements, and other documents to verify information supplied in the bid response. The bidder hereby gives consent to the IDC to conduct background checks, including FICA verification, on the bidding entity and any of its directors / trustees / shareholders / members.
- 8.6.** The IDC reserves the right, at its sole discretion, to appoint any number of vendors to be part of this panel of service providers, if applicable (i.e., where a panel is considered).
- 8.7.** The IDC reserves the right of final decision on the interpretation of its tender requirements and responses thereto.
- 8.8.** The IDC reserves the right to consider professional conduct and experiences it had with any bidder which rendered similar services to the IDC in the past 5 years over and above the references put forward by the bidder in its response.

## **9. UNDERTAKINGS BY THE BIDDER**

---

- 9.1.** By submitting a bid in response to the RFP, the bidder will be taken to offer to render all or any of the services described in the bid response submitted by it to the IDC on the terms and conditions and in accordance with the specifications stipulated in this RFP document.
- 9.2.** The bidder shall prepare for a possible presentation should IDC require such and the bidder will be required to make such presentation within five (5) days from the date the bidder is notified of the presentation. Such presentation may include a practical demonstration of products or services as called for in this RFP.
- 9.3.** The bidder agrees that the offer contained in its bid shall remain binding upon him/her and receptive for acceptance by the IDC during the bid validity period indicated in this RFP and its acceptance shall be subject to the terms and conditions contained in this RFP document read with the bid.
- 9.4.** The bidder furthermore confirms that he/she has satisfied himself/herself as to the correctness and validity of his/her bid response; that the price(s) and rate(s) quoted cover all the work/item(s) specified in the bid response documents; and that the price(s) and rate(s) cover all his/her obligations under a resulting contract for the services contemplated in this RFP; and that he/she accepts that any mistakes regarding price(s) and calculations will be at his/her risk.
- 9.5.** The successful bidder accepts full responsibility for the proper execution and fulfilment of all obligations and conditions devolving on him/her under the supply agreement and SLA to be concluded with IDC, as the principal(s) liable for the due fulfilment of such contract.

- 9.6.** The bidder accepts that all costs incurred in the preparation, presentation and demonstration of the solution offered by it shall be for the account of the bidder. All supporting documentation and manuals submitted with its bid will become IDC property unless otherwise stated by the bidder/s at the time of submission.

## **10. REASONS FOR DISQUALIFICATION**

---

- 10.1.** The IDC reserves the right to disqualify any bidder which does any one or more of the following, and such disqualification may take place without prior notice to the offending bidder:
- 10.1.1. bidder whose Tax Status is non-compliant, after they have been notified accordingly and still remain non-compliant;
  - 10.1.2. bidder who submit incomplete information and documentation according to the requirements of this RFP document;
  - 10.1.3. bidder who submit information that is fraudulent, factually untrue, or inaccurate information;
  - 10.1.4. bidder who receive information not available to other potential bidders through fraudulent means;
  - 10.1.5. bidder who do not comply with any of the mandatory requirements as stipulated in the RFP document;
  - 10.1.6. bidder who fail to comply with POPIA requirements as listed herein  
bidder, as the prime contractor, who holds a lower percentage in terms of the value of the contract than any of its subcontractor/(s).

## **11. RETURNABLE SCHEDULES**

---

Bidders shall submit their bid responses in accordance with the returnable schedules specified below (each schedule must be clearly marked):

- 11.1. Cover Page:** (the cover page must clearly indicate the RFP reference number, bid description and the bidder's name)

### **11.2. Schedule 1:**

- 11.2.1. Executive Summary (explaining how you understand the requirements of this RFP and the summary of your proposed solution)
- 11.2.2. Annexure 1 of this RFP document (duly completed and signed)

### **11.3. Schedule 2**

- 11.3.1. Copy of Board Resolution, duly certified;
- 11.3.2. Originally certified copy of ID document for the Company Representative;
- 11.3.3. Annexure 2 of this RFP document (duly completed and signed);
- 11.3.4. Annexure 3 of this RFP document (duly completed and signed);
- 11.3.5. Annexure 4 of this RFP document (duly completed and signed);
- 11.3.6. Response to Annexure 6: BEE Commitment Plan;
- 11.3.7. Bidders must submit a B-BBEE verification certificate. For Exempted Micro Enterprises (EME) with an annual revenue of less than R10 million and Qualifying Small Enterprises (QSE) with an annual revenue of between R10 million and R50 million per annum, a sworn affidavit confirming the annual total revenue and level of black ownership may be submitted. Any misrepresentation in terms of the declaration constitutes a criminal offence as set out in the B-BBEE Act as amended.

**Note: If a bidder is a Consortium, Joint Venture or Prime Contractor with Subcontractor(s), the documents listed above must be submitted for each Consortium/ JV member or Prime Contractor and Subcontractor(s).**

- 11.3.8. Annexure 7 of this RFP document (duly responded to);
- 11.3.9. Annexure 8 of this RFP document (duly completed and signed, if applicable);
- 11.3.10. Statement of Financial Position of the Bidder: Latest Audited Financial Statements (where applicable in terms of the Company's Act) and/or independently reviewed financial statements and/or Cashflow Budget for new entities with no financial records.
- 11.3.11. Copy of Joint Venture/ Consortium/ Subcontracting Agreement duly signed by all parties (if applicable).

#### **11.4. Schedule 3:**

- 11.4.1. Response to Section 2 of this document, in line with the format indicated in this RFP document.
- 11.4.2. Annexure 5 of this RFP document duly completed and signed.

**11.5. Schedule 4:** Price Proposal (response to Section 3 of this RFP document).

**NOTE: Must be submitted as a separate file/document marked Schedule 4: Price Proposal)**

## **12. EVALUATION CRITERIA AND WEIGHTINGS**

---

Bids shall be evaluated in terms of the following process:

**12.1. Phase 1: Initial Screening Process:** During this phase, bid responses will be reviewed for purposes of assessing compliance with RFP requirements including the general bid conditions and also the Specific Conditions of Bid, which requirements include the following:

- IDC will make use of the Central Supplier Database (CSD) to access key information which is required to conduct supplier vetting including Company Registration status, tax compliance status and any other relevant checks conducted on CSD.
- In the event that the bidding structure is a Prime Contractor with Sub-contractor/(s), then IDC will evaluate the information provided in Annexure 2 (Acceptance of Bid Conditions and Bidder's Details) and if determined that the Prime Contractor holds a lower percentage in terms of the value of the contract than any of its subcontractor/(s), then the bid will be disqualified.
- Submission of ID copy for the Company Representative as referenced in 11.3.3 above.
- BEE Status Certification as referenced in 11.3.7 above.
- Completion of all Standard Bidding Documents and other requirements, as reflected in this RFP, which covers the following:
  - Section 2: Statement of compliance with the Functional Evaluation Criteria for this RFP.
  - Section 3: Cost Proposal and Price Declaration Form.
  - Annexure 1: Acceptance of Bid Conditions.
  - Annexure 2: Tax Compliance Requirements.
  - Annexure 3: Bidder's Disclosure.
  - Annexure 4: Shareholders' Information/ Group Structure.
  - Annexure 5: Bidders Experience & Project Team.
  - Annexure 6: BEE Commitment Plan.
  - Annexure 7: Disclosure Statement.



- Annexure 8: Privacy & Protection of Personal Information Act 4 of 2013 Requirements.

**Note: Failure to comply with the requirements assessed in Phase 1 (compliance), may lead to disqualification of bids.**

## 12.2. Phase 2: Technical/ Functionality Evaluation

Bid responses will be evaluated in accordance with the Functional criteria as follows:

### 12.2.1. Other Functional/ Technical Requirements

With regards to the other Functional Requirements, the following criteria (set out in more detail in section 2 of this RFP document) and the associated weightings will be applicable:

ELEMENT	WEIGHT
Bidder's Relevant Experience	20
Bidder's Proposed Methodology	20
Qualifications, Skills, and Experience of the project team leader	15
Qualifications, Skills, and Experience of the project team members	15
Bidders Proposed Project Plan	5
Bidders Demonstration	25
<b>TOTAL</b>	<b>100</b>

**Note: Bidders who score 52.50 points out of 75 points (%) or more in total for the functional / technical requirements will be shortlisted for demonstrations.**

**All bidders that fail to achieve the minimum overall qualifying score of 70% on functional/ technical requirements including the demonstrations will not be considered for further evaluation on Price and Specific Goals.**

## 12.3. Phase 3: Preference Point System

All bids that achieve the minimum qualifying score for Functionality (acceptable bids) will be evaluated further in terms of the preference point system, as follows:

CRITERIA	POINTS
Price	<b>80</b>
Specific Goals <sup>1</sup>	<b>20</b>
<b>TOTAL</b>	<b>100</b>

<sup>1</sup>Specific Goals for this tender and points that may be claimed are indicated per table below:

SPECIFIC GOALS	POINTS
	(80/20 system)
Black ownership <sup>2</sup>	<b>10</b>
30% Black women ownership	<b>5</b>
Any % of ownership by Black Designated Groups <sup>3</sup>	<b>2</b>
Reconstruction Development Programme Objective: Promotion of SMMEs (Entities that are EME or QSE)	<b>3</b>
<b>TOTAL POINTS</b>	<b>20</b>

<sup>2</sup>Black ownership: 100% black owned entities will score the full 10 points (if 80/20 system) and between 51% - 99.99% black owned entities will score 4 points (if 80/20 system).

<sup>3</sup>Black Designated Groups has the meaning assigned to it in the codes of good practice issued in terms of section 9(1) of the Broad-Based Black Economic Act as amended.

#### **12.4. Phase 4: Objective Criteria**

This contract will be awarded to the bidder scoring the highest points unless an objective criterion justifies the award of the tender to a bidder other than the highest scoring bidder.

##### **12.4.1. Objective Criteria are:**

The bidder must pose less risk to the IDC. The risk will be assessed in terms of, but not limited to, the following:

- Reputational Risk: This will be assessed in line with the bidder's disclosure (Refer to Annexure 7: Disclosure statement of this document).
- Concentration Risk: Over exposure to a single bidder.
- The bidder's financial capability in relation to the execution of the contract.
- The bidder's past performance in IDC contracts.

#### **13. PROMOTION OF EMERGING BLACK OWNED SERVICE PROVIDERS**

---

It is the IDC's objective to promote transformation across all industries and/ or sectors of the South African economy and as such, bidders are encouraged to partner with a black owned entity (being 50%+1 black owned and controlled). Such partnership may include the formation of a Joint Venture and/ or subcontracting agreement etc., where a portion of the work under this tender would be undertaken by black owned entities. To give effect to this requirement, bidders are required to submit a partnership / subcontracting proposal detailing the portion of work to be outsourced, level of involvement of the black owned partner and where relevant, submit either a consolidated B-BBEE scorecard or each bidder of the partnership in their individual capacity to submit a BEE certificate or Sworn Affidavit in case of an EME or QSE which will be considered as part of the Specific Goals scoring listed in 12.3.

**SECTION 2: FUNCTIONAL REQUIREMENTS SPECIFICATION**

## **SECTION 2: FUNCTIONAL REQUIREMENTS**

---

### **1. SPECIAL INSTRUCTIONS TO BIDDERS**

---

- 1.1. Should a bidder have reason to believe that the Functional Requirements are not open/fair and/or are written for a particular service provider; the bidder must notify IDC Procurement within five (5) days after publication of the RFP.
- 1.2. Bidders shall provide full and accurate answers to the questions posed in this RFP document, and, where required explicitly state “Comply/Not Comply” regarding compliance with the requirements. Bidders must substantiate their response to all questions, including full details on how their proposal/solution will address specific functional/ technical requirements; failure to substantiate may lead to the bidder being disqualified. All documents as indicated must be supplied as part of the bid response.
- 1.3. Failure to comply with Mandatory Requirements may lead to the bidder being disqualified.

### **2. BACKGROUND INFORMATION**

---

- 2.1. The Industrial Development Corporation of South Africa Limited (the IDC) is a self-financing, state-owned National Development Finance Institution which provides financing to entrepreneurs engaged in competitive industries and follows normal company policies and procedures in its operations.

The IDC, on behalf of the dtic, submitted a proposal to the Presidential Employment Stimulus for the creation of a Social Employment Fund (SEF), aimed at creating 50 000 employment opportunities in the Social and Solidarity Economy (SSE) to support a strategy of social employment in communities. The “Social and Solidarity Economy” is motivated by concern for public good, providing solutions to challenging and pervasive social and environmental challenges. The vision of the SEF is for such work to contribute to local social entrepreneurship in both direct and indirect ways. This intervention will strengthen the SSE contributing to a growth strategy that is inclusive.

A total of R3.5bn has been allocated to the SEF for the operation of the programme up until March 2025. These funds are allocated to Strategic Implementing Partners (SIPs) through a series of calls for proposals. The IDC in their capacity as a Fund Manager, has thus far appointed 37 SIPs for the creation and sustainment of the initial 50 000 employment opportunities. These SIPs work in a multitude of sectors not limited to Food Security, Education, Greening and the Environment, Health and Care, Arts and Culture etc. These worksites are disbursed throughout the country in rural, peri-urban and urban settings. SIPs have designed work programmes for the 50 000 participants and report back on their impact and output to the IDC.

The IDC are also acting as pay agent to the SIPs. In this capacity, the IDC have deployed a payment tool (Kwantu) to onboard, record time and attendance and pay participants directly. The Kwantu Tool is also being used to track participant and output data. Refer to Annexure A.

For further information on the Terms of Reference on the 2<sup>nd</sup> open call, please refer to [www.idc.co.za/SEF](http://www.idc.co.za/SEF)

### 3. SCOPE OF WORK

---

The objective of the Impact assessment study is to:

- Assess dimensions of impact from the implementation of the SEF programme in respect of both successes and challenges;
- To outline/define key indicators with metrics that the IDC can utilise to measure, track, and report on the impact of the SEF Programme.

#### 3.1 The deliverables of the Impact Evaluation study are as follows:

- ✚ Effectiveness and efficiency:  
This will focus on a desktop review and analysis of critical metrics and outcomes derived from the reporting system and other administrative data sources in IDC
- ✚ Programme impacts in relation to the following focus areas:
  - Participants
  - Social, Economic and/or Environmental value creation in communities
  - Organisational development in SIPs and partner organisations.
- ✚ Review of design features and institutional arrangements:  
This will consider how key design features of the programme have enhanced or hindered the achievement of actual and/or intended impacts.

#### 3.2 Terms of Reference

##### 3.2.1 Effectiveness and efficiency

This section will largely rely on desktop analysis that will look at indicators including but not limited to scale of job creation, community assets and services delivered, sectoral spread, spatial spread, spending trends and ratios in relation to key categories (wages, tools and materials, training, programme management etc), timeous transfers to SIPs, timeous of payments to participants and other metrics. For this purpose, the evaluators will have access to the following documents and data:

- i. Programme's Concept document,
- ii. Programme Agreements Signed between the IDC and DTIC
- iii. Application documents from the respective SIPs
- iv. Submissions to the Investment Committee
- v. Grant Agreements
- vi. Performance reports,
- vii. Impact Study 2023
- viii. Baseline Report from SIPs participating in SEF round 2
- ix. Close Out Reports from SEF Round 2
- x. Any existing monitoring and evaluation results.

### **3.3 Programme Impacts:**

#### **3.3.1 Impact on Participants**

Impacts on participants include the following:

#### **3.3.2 Impact on poverty from incomes earned**

There is adequate literature on the poverty impact on increased income for people who are poor. Such income is typically spent on food and other basic needs. While these impacts matter, and participants are sure to highlight them, and while the evaluation should capture these where they arise, there is a high level of policy consensus on these effects and they are not the main purpose of this evaluation, which is more focused on the added value of participation in work for participants.

Examples of questions to explore:

- Are participants experiencing improved health and nutrition?
- Are participants experiencing improved quality of life?

#### **3.3.3 Psycho-social impacts from participation in work**

There is adequate international literature on the psycho-social impacts of unemployment particularly long-term unemployment. These include depression, loss of self-esteem, social isolation, health impacts, substance abuse, anti-social behaviors such as participation in gangs as a proxy for inclusion, and many more. A key question for evaluation is whether participation in work in SSE programmes contributes to mitigating these psycho-social impacts. While certainly, some of these impacts are linked to having incomes, and it can be hard to separate the income effects from non-income effects, the literature does establish that many of the psycho-social impacts of unemployment apply even where forms of social protection for the unemployed are strong i.e. where income is excluded. The evaluation will consider these issues in an integrated way – but will try where possible to differentiate these issues also.

#### **3.3.4 Impacts on capabilities and skills**

Participation in work is associated with the development of a range of skills and capabilities that are relevant both to social functioning and to productivity in the workplace. These include teamwork, accountability, time management, task management, access to networks, access to information, leadership skills, sense of agency, confidence beyond the workplace, and many more. Certainly, some such skills may be formal, whether through formal skills training or on the job training. But many of these are tacit skills. In the South African labour market, employers in the private sector often complain that the reason they do not want to employ people who have never worked before (or are long-term unemployed) is that they lack (or have lost) these tacit skills of work and there are high costs associated with developing them. To what extent do participants in SEF programmes acquire skills and capabilities – formal or tacit relevant to their social functioning and/or to the world of work as a result of their participation?

#### **3.3.5 Impacts on participants of participation in work that serves the common good**

A unique feature of SEF programmes is that the work must serve the common good. What difference (if any) does it make to participants that the work undertaken is meaningful to their community? How does this impact on their experience of work/ attitude to the work?

### **3.3.6 Impacts on social inclusion**

While this may be a cross-cutting element derived from and informed by other dimensions above, a prism of analysis is the question of how participation in work impacts on social engagement, participation and sense of belonging for participants.

### **3.3.7 Impacts on complementary forms of social and economic participation**

Part of the rationale for the part-time model is that it does not crowd out other livelihood activities and that by providing support 'scaffolding', it enables a range of complementary forms of social and economic activity, including work search activity, casual employment, early-stage entrepreneurial activity, skilling, and education. For many caregivers, part-time work is accessible in a way that full time work may not be. The evaluation will explore these issues:

- What activities are participants engaged in outside of the programme?
- How has this changed since they joined the programme?
- What possibilities do they see in this regard?
- Are there examples of how design features/interventions of SEF programmes at the level of the SIPs or their partners have enhanced these outcomes and with what lessons?

### **3.3.8 Impacts on pathways to employment of participants and/or entrepreneurial opportunities**

Part of the rationale for the social employment fund model is that it provides for skill acquisition by participants that will enable absorption of participants into sustainable employment or income generating enterprises. The evaluation will explore these issues:

- What are the other potential pathways into livelihood or other activities exist at the end of the work programme for participants?
- What activities are SIPs engaged in to promote absorption of participants into sustainable employment or establishment of enterprises by participants?
- The effectiveness of activities aimed at the promotion of absorption of participants into sustainable employment or establishment of enterprises by participants.
- Tracer Study of participants who have exited the programme.

### **3.3.9 Impact of social, economic and/or environmental social value creation**

The SIPs contribute to work for the common good in a wide variety of ways. Some of this can be aggregated and analysed from the reporting system (refer to Annexure A). However, the evaluation will also include a more qualitative assessment of the contribution to 'the common good' arising from the work undertaken, organized in relation to the key sectors of work covered. Evaluation Proposals will motivate the methodology for this element.

### **3.3.10 Impacts on organizational development and resilience of SIPs and their partners in community-based organisations**

This section of the evaluation will aim to answer inter alia the following questions:

- To what extent has participation in the SEF enhanced/undermined organizational development and resilience in the SIPs, and in either case, in what respects has it done so and how

- To what extent has participation in the SEF through partnership with a SIP enhanced/undermined the organisational development and resilience of community-based organisations – from the perspective both of the SIP and the CBO?
- What have been key lessons – ‘pain-points’ and positive outcomes – from the partnering process?
- Have there been any secondary effects on organizational depth, outreach and/or engagement at community level – in or beyond its direct partners - arising from the SEF programme?

### **3.4 Value of Impact**

This section of the evaluation will aim to examine the value of impact anticipated and reported by SIPs. This must include the examination of the factors and methods used by the SIPs respectively to extrapolate the monetary value of impact in the respective programme theme/s.

#### **3.4.1 Impact of Stakeholder Engagement**

This section will seek to explore and identify community stakeholders engaged, determine buy-in, roles and expectations, governance protocols, and communication/feedback channels, etc.

#### **3.4.2 Intended Users and Stakeholders of the Evaluation**

The primary audience for the evaluation is the IDC SEF team, DTIC, Presidency SEF Project Management Office, Grant Fund Managers and Programme Designers. Stakeholders include the SIPs, their partners, participants and communities.

### **3.5 Responding to the Proposal**

- The responding Service Provider is expected to submit a High-Level Project Plan (including project milestones, activities, timeframes and cost implications) as part of its proposal, as well as a Proposal including, but not limited to: Introduction: understanding of the SEF and purpose of the evaluation.
- Per Focus Area: demonstrated understanding of each Focal Area; proposed methodologies and approaches to addressing the Focal Areas as specified.
- Summary of the expertise the evaluation team will bring, highlighting relevant prior experience. CVs as addenda.
- Assumptions on support and access requirements to be enabled by the IDC and the SIPs.
- Risks and proposed mitigation

The evaluation will cover the period from inception of the programme from August 2023 to July 2024 (SEF Round 2 programmes).

### **3.6 Reporting requirements and Timeframes**

#### **3.6.1 Outputs**

The following outputs are expected:

- i. Evaluation work plan and design document including methodology, questions, analysis framework and data collection instruments (interview and group discussion guides),
- ii. Inception report which includes the theory of change of the programme,
- iii. Evaluation progress reports,
- iv. Draft report and presentation thereof,



- v. Final evaluation report updated based on the IDC SEF Team, DTIC and the Presidency PMO 's comments on the draft report,
- vi. Presentation of final report,
- vii. Appendices with details on the methodology, informants, and data collection instruments, and
- viii. Raw data from data collection

#### 4. PROJECT TIMELINES

The appointed service provider(s) will be required to start immediately after award with phase 2 Impact assessment of the SEF programme and complete the study within a period of six (6) months with an option to extend the services to phase 3 and 4 of the study.

#### 5. TECHNICAL EVALUATION CRITERIA

##### 5.1 Technical Requirements

The service provider must indicate their compliance/ non-compliance to the following requirements and to substantiate as required. The bidder must respond in the format below, where additional information is provided/ attached somewhere else; such information must be clearly referenced.

5.1.1 BIDDER'S EXPERIENCE	COMPLY	PARTIALLY COMPLY	NOT COMPLY
<p>The bidder is required to provide references that demonstrates and supports their relevant as well as wide experience with evaluation of complex, community-based development programmes.</p> <p>To substantiate, the bidder must provide a minimum of three (3) relevant and similar reference projects where they have provided similar impact assessment services within public sector and/or private sector within the last five (5) years.</p> <p>Refer to <b>Table (A) Annexure 1</b> of this document for the response format provided.</p>			
<b>Substantiate / Comments</b>			

5.1.2 BIDDER'S PROPOSED METHODOLOGY	COMPLY	PARTIALLY COMPLY	NOT COMPLY
<p>The bidder must demonstrate thorough understanding of the SEF terms of reference, key deliverables of this impact study.</p> <p>The bidder must provide a detailed proposal of the methodology/ approach to be used to carry out the scope of work outlined above and clearly demonstrating how the audit deliverables will be achieved.</p>			
<b>Substantiate / Comments</b>			

5.1.3 QUALIFICATIONS AND SKILLS OF THE PROPOSED TEAM LEADER	COMPLY	PARTIALLY COMPLY	NOT COMPLY
<p>The bidder's proposed <b>team leader</b> must have a clear understanding of the purpose of the Social Employment Fund, focal areas of evaluation as well as relevant experience within the evaluation of complex community-based development programmes.</p> <p>The team leader should have a minimum of five (5) years' proven track record and experience in the evaluation of complex community-based development. The team leader is to also have a recognized post-graduate degree in monitoring and evaluation related field.</p> <p>To substantiate, the bidder is to submit a minimum of three (3) similar previous reference projects, which were led by the proposed team leader in the evaluation of complex community-based development programmes.</p> <p>Bidders must also submit, as part of the proposal, the following:</p> <ul style="list-style-type: none"> <li>• CV of the team leader which clearly highlight qualifications, areas of experience / competence relevant to the tasks and objectives of this project as well as similar projects led as outlined above.</li> <li>• Case studies detailing the type of projects, the period of the projects, the magnitude of the projects and the result of the projects.</li> </ul> <p>Refer to <b>Table (B) Annexure 1</b> of this document for the response format provided</p>			
<b>Substantiate / Comments</b>			

5.1.4 QUALIFICATIONS AND SKILLS OF THE PROPOSED TEAM MEMBERS	COMPLY	PARTIALLY COMPLY	NOT COMPLY
<p>The bidder's proposed team members must have a clear understanding of the purpose of the Social Employment Fund, focal areas of evaluation as well as relevant experience within the evaluation of complex community-based development.</p> <p>The team members should have a minimum of five (5) years' proven track record and experience in the evaluation of complex community-based development. The team members must have a recognized degree in monitoring and evaluation related field.</p> <p>To substantiate, the bidder is to submit a minimum of three (3) similar previous reference projects, which were completed by the proposed team members in conducting similar evaluations.</p> <p>Bidders must submit, as part of the proposal, the following:</p> <ul style="list-style-type: none"> <li>• CVs of the team members which clearly highlight qualifications, areas of experience / competence relevant to the tasks and objectives of this project as well as similar projects involved in as outlined above.</li> <li>• Case studies detailing the type of projects, the period of the projects, the magnitude of the projects and the result of the projects.</li> </ul> <p>Please also refer to <b>Table (C) Annexure 1</b> of this document for the format in which the required information must be provided.</p>			
<b>Substantiate / Comments</b>			

5.1.5 BIDDER'S PROPOSED PROJECT PLAN	COMPLY	PARTIALLY COMPLY	NOT COMPLY
<p>The bidder must provide a detailed project plan, which is to include key timelines within a period not longer than six (6) months from the commencement date. The plan must indicate the key activities, timelines, and milestones/deliverables.</p> <p>The project plan must include the following however the list is not exhaustive:</p> <ul style="list-style-type: none"> <li>• Contract start date</li> <li>• Evaluation work plan and methodology</li> <li>• Submission of draft intermittent report as of January 2025</li> <li>• Presentation of draft report</li> <li>• Presentation of final report as of February 2025</li> <li>• Submission of final evaluation report</li> </ul>			
<b>Substantiate / Comments</b>			

5.1.6 BIDDER'S DEMONSTRATION	
Shortlisted bidders will be required to provide a demonstration to the technical evaluation team.	
Criteria	Points
<p>Demonstration of the bidder's approach to the study and deliverables as per scope work in the bid document.</p> <p><b>Note:</b> The demonstration including questions and answer session should not be longer than 60 minutes.</p>	25

## **SECTION 3: COST PROPOSAL**

## SECTION 3: COST PROPOSAL

---

1. **NOTE: All prices must be VAT inclusive (where applicable) and must be quoted in South African Rand (ZAR).**

2. Are the rates quoted firm for the full period of the contract?

YES	NO
-----	----

**Important:** If not firm for the full period, provide details of the basis on which price adjustments shall be applied e.g., CPI etc.

3. All additional costs associated the bidder's offer must be clearly specified and included in the Total Bid Price.

4. Is the proposed bid price linked to the exchange rate?	Yes	No
<b><i>If yes, the bidder must indicate CLEARLY which portion of the bid price is linked to the exchange rate:</i></b>		

5. Payments will be linked to specified deliverables after such deliverables have been approved by the IDC. Payments will be made within 30 days from date of invoice.	Compl y	Not Comply

6. The IDC reserves the right to consider the guidelines on consultancy rates as set out in the <b>National Treasury Instruction 02 of 2016/2017: Cost Containment Measures</b> which took effect from 01 January 2014, where relevant.  The bidder must indicate if their proposed rates are in line with the provisions of the referenced National Treasury Instruction: Cost Containment Measures.	Compl y	Not Comply
Substantiate / Comments		

## 7. COSTING MODEL

Activity/ Deliverable	Resource(s)	Rate/Hour per resource	Number of hours	Cost (VAT Excl.)
<b>Site Visit</b>				
4 site visits of 2 days each on selected sample areas				
<b>Effectiveness and Efficiency</b>				
Desk top review and analysis of critical metrics and outcomes derived from the reporting system and other administrative data sources.				
<b>Programme impacts in relation to Participants</b>				
<b>Programme impacts in relation to Social, Economic and/or Environmental value creation in Communities</b>				
<b>Programme impacts in relation Organisational development in SIPs, Partner organisations and Community feedback</b>				
<b>Review of design features and Institutional arrangement</b>				
Disbursements				
<b>Sub-Total Phase 2 Price (VAT Excl.)</b>				
<b>VAT @ 15% (If applicable)</b>				
<b>Phase 2: Total Bid Price (VAT Incl.)</b>				
<b>Phase 3: Total Bid Price (VAT Incl.)</b>				
<b>Phase 4: Total Bid Price (VAT Incl.)</b>				

**Important Note:** Flights, accommodation and car hire for site visits will be arranged by the IDC travel agency in line with the IDC approved travel policy.

**Note on pricing:** Disbursements (incidental expenses other than consulting services e.g., printing costs, etc.) must be clearly defined, outlining all assumptions. It is of utmost importance to submit clear and comprehensive cost proposals to allow the IDC to fairly compare bid price / cost proposals. If there is no additional fee envisaged for Disbursements, then the bidder must clearly indicate “No Charge / Free of Charge”. Failure to clearly indicate this, would result in IDC penalising your bid response by taking the cost of the highest bidder and adding 50% thereto and apply this rate for purposes of price comparisons. Bidders are

therefore requested to respond clearly and comprehensively on this aspect of their bid response.

The bidder must provide a detailed breakdown of the Disbursements as follows:

Cost Element	Cost (VAT Excl.)

**8. SUMMARY OF THE PROPOSAL**

DESCRIPTION	BIDDER'S PROPOSAL
Number of resources (personnel)	
Project duration (in hours)	
Project duration (in months)	
Commencement Date	



**PRICE DECLARATION FORM**

Dear Sir,

Having read through and examined the Request for Proposal (RFP) Document, RFP no. **T30/07/24**, the General Conditions, and all other Annexures to the RFP Document, we offer to conduct an Impact Assessment on the SEF programme to IDC as specified in this RFP document.

**Phase 2**

R..... (Including VAT)

**In words**

R..... (Including VAT)

**Phase 3**

R..... (Including VAT)

**In words**

R..... (Including VAT)

**Phase 4**

R..... (Including VAT)

**In words**

R..... (Including VAT)

We confirm that this price covers all activities associated with the service, as called for in the RFP document. We confirm that IDC will incur no additional costs whatsoever over and above this amount in connection with the provision of this service.

We undertake to hold this offer open for acceptance for a period of 120 days from the date of submission of offers. We further undertake that upon final acceptance of our offer, we will commence with the provision of the required service when required to do so by the IDC.

We understand that you are not bound to accept the lowest or any offer, and that we must bear all costs which we have incurred in connection with preparing and submitting this bid.

We hereby undertake for the period during which this bid remains open for acceptance, not to divulge to any persons, other than the persons to whom the bid is submitted, any information relating to the submission of this bid or the details therein except where such is necessary for the submission of this bid.

**SIGNED**

**DATE**

\_\_\_\_\_

(Print name of signatory)

Designation

**FOR AND ON BEHALF OF:** COMPANY NAME

Tel No

Cell No

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

## **SECTION 4: ANNEXURES**

**ANNEXURE 1: RESPONSE FORMAT FOR SECTION 2**

**Bidder's Experience and the proposed Project Team**

Request for Proposal No: \_\_\_\_\_  
 Name of Bidder: \_\_\_\_\_  
 Authorised signatory: \_\_\_\_\_

*[Note to the Bidder: The bidder must complete the information set out below in response to the requirements stated in Section 2 of this bid document. If the bidder requires more space than is provided below it must prepare a document in substantially the same format setting out all the information referred to below and return it with this Returnable Schedule 3.]*

**The bidder must provide the following information:**

**Table (A) details of the bidder's experience with evaluation of complex, community-based development programmes refer to Section 2 par 5.1.1):**

Client' Name	Description of service performed and extent of Bidder's responsibilities	Project period (Start and End Dates)	Name, title and telephone contact of client

**Table (B) Details of the key personnel of the bidders' proposed team leader (please refer to par 5.1.2 of Section 2 of this RFP document):**

Name	Highest Qualification	Position	Role / Duties in this Project	Relevant Project Experience
				Project description, Client, Project period

**Table (C) Details of the key personnel of the bidders' proposed team members (please refer to par 5.1.3 of Section 2 of this RFP document):**

Name	Highest Qualification	Position	Role / Duties in this Project	Relevant Project Experience
				Project description, Client, Project period

**ANNEXURE 2: ACCEPTANCE OF BID CONDITIONS AND BIDDER'S DETAILS**

---

Request for Proposal

No: \_\_\_\_\_

Name of Bidder: \_\_\_\_\_

Authorised signatory: \_\_\_\_\_

Name of Authorised  
Signatory \_\_\_\_\_

Position of Authorised  
Signatory \_\_\_\_\_

By signing above the bidder hereby accept full responsibility for the proper execution and fulfilment of all obligations and conditions devolving on him/her under this RFP.

**[Note to the Bidder: The Bidder must complete all relevant information set out below.]**

**CENTRAL SUPPLIER DATABASE (CSD) INFORMATION**

**Bidders that are registered on the Central Supplier Database (CSD) of National Treasury are required to submit as part of this proposal both their CSD supplier number and CSD unique registration reference numbers below:**

<b>Supplier Number</b>	
<b>Unique registration reference number</b>	

**BIDDING STRUCTURE**

**Indicate the type of Bidding Structure by marking with an 'X':**

<b>Individual Bidder</b>	
<b>Joint Venture/ Consortium</b>	
<b>Prime Contractor with Sub Contractors</b>	
<b>Other</b>	

**REQUIRED INFORMATION**

**If Individual Bidder:**

Name of Company	
Registration Number	
Vat registration Number	
Contact Person	
Telephone Number	
Cellphone Number	
Fax Number	
Email address	
Postal Address	
Physical Address	

**If Joint Venture or Consortium, indicate the following for each partner:**

**Partner 1**

Name of Company	
Registration Number	
Vat registration Number	
Contact Person	
Telephone Number	
Cellphone Number	

Fax Number	
Email address	
Postal Address	
Physical Address	
Scope of work and the value as a % of the total value of the contract	
<b>Partner 2</b>	
Name of Company	
Registration Number	
Vat registration Number	
Contact Person	
Telephone Number	
Cellphone Number	
Fax Number	
Email address	
Postal Address	
Physical Address	
Scope of work and the value as a % of the total value of the contract	

<b>If bidder is a Prime Contractor using Sub-contractors, indicate the following:</b>	
<b>Prime Contractor</b>	
Name of Company	
Registration Number	
Vat registration Number	
Contact Person	
Telephone Number	
Cellphone Number	
Fax Number	
Email address	
Postal Address	
Physical Address	
<b>Sub-contractors</b>	
Name of Company	
Company Registration Number	
Vat registration Number	
Contact Person	
Telephone Number	
Cellphone Number	
Fax Number	
Email address	
Postal Address	
Physical Address	
Subcontracted work as a % of the total value of the contract	

## ANNEXURE 3: TAX COMPLIANCE REQUIREMENTS

<b>1. TAX COMPLIANCE REQUIREMENTS</b>		
<p>1.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.</p> <p>1.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VIEW THE TAXPAYER'S PROFILE AND TAX STATUS.</p> <p>1.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) OR PIN MAY ALSO BE MADE VIA E-FILING. IN ORDER TO USE THIS PROVISION, TAXPAYERS WILL NEED TO REGISTER WITH SARS AS E-FILERS THROUGH THE WEBSITE WWW.SARS.GOV.ZA.</p> <p>1.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS TOGETHER WITH THE BID.</p> <p>1.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE PROOF OF TCS / PIN / CSD NUMBER.</p> <p>1.6 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.</p>		
<b>2. QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS</b>		
<p>2.1 IS THE BIDDER A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?  <input type="checkbox"/> YES <input type="checkbox"/> NO</p> <p>2.2 DOES THE BIDDER HAVE A BRANCH IN THE RSA?  <input type="checkbox"/> YES <input type="checkbox"/> NO</p> <p>2.3 DOES THE BIDDER HAVE A PERMANENT ESTABLISHMENT IN THE RSA?  <input type="checkbox"/> YES <input type="checkbox"/> NO</p> <p>2.4 DOES THE BIDDER HAVE ANY SOURCE OF INCOME IN THE RSA?  <input type="checkbox"/> YES <input type="checkbox"/> NO</p> <p><b>IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN, IT IS NOT A REQUIREMENT TO OBTAIN A TAX COMPLIANCE STATUS / TAX COMPLIANCE SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 1.3 ABOVE.</b></p>		
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:	

## ANNEXURE 4: BIDDER'S DISCLOSURE

---

### 1. PURPOSE OF THE FORM

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

### 2. BIDDER'S DECLARATION

2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest <sup>1</sup> in the enterprise, employed by the state? **YES/NO**

2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

2.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution? **YES/NO**

2.2.1 If so, furnish particulars:

.....  
.....

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract? **YES/NO**

2.3.1 If so, furnish particulars:

.....  
.....

### 3 DECLARATION

---

<sup>1</sup> the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.



I, the undersigned, (name)..... in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

- 3.1 I have read, and I understand the contents of this disclosure;
- 3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;
- 3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement, or arrangement with any competitor. However, communication between partners in a joint venture or consortium<sup>2</sup> will not be construed as collusive bidding.
- 3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.
- 3.4 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.
- 3.5 There have been no consultations, communications, agreements, or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.
- 3.6 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....  
Signature

.....  
Date

.....  
Position

.....  
Name of bidder

<sup>2</sup> Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

**ANNEXURE 5: SHAREHOLDERS AND DIRECTORS INFORMATION**

[Note to the bidder: the bidder must complete the information set out below. If the bidder requires more space than is provided below it must prepare a document in substantially the same format setting out all the information referred to below and return it with Returnable Schedule 2.]

**1 Shareholders/ Members**

Name of the shareholder	ID Number	Race	Gender	% Shares

**Note:** The bidder must also attach the detailed Company/ Group Structure where relevant.

**2 Trust Information**

With reference to point 8.6 IDC Rights, should a trust form part of the Company / Group structure then the following must be submitted as part of your proposal.

<b>Documents necessary to verify the Identity of a Trust</b>	<input type="checkbox"/> Copy of trust deed or other founding document by which trust is created.  <input type="checkbox"/> Letters of authority (as issued by the Master of the High Court)  <input type="checkbox"/> Personal details of each Trustee, each Beneficiary, the Founder, and the person authorised to act on behalf of the Trust
--	---

**3 Black Shareholders/ Members as per the B-BBEE Certificate**

Name of the shareholder	ID Number	Race	Gender	% Shares
<b>Total Black Shareholding % as per the current and valid B-BBEE Certificate</b>				

**4 Directors**

Name of the shareholder	ID Number	Race	Gender

I, THE UNDERSIGNED (NAME).....

CERTIFY THAT THE INFORMATION FURNISHED ABOVE IS CORRECT.

.....  
Signature

.....  
Date

.....  
Position

.....  
Name of bidder

## **ANNEXURE 6: BEE COMMITMENT PLAN**

---

The IDC encourages existing vendors and prospective bidders to support the objectives of B-BBEE and as far as possible strive to improve their B-BBEE contribution status. For bid evaluation purposes, bidders are allocated points in terms of a preference point system based on the Specific Goals which requires the bidder to have a valid B-BBEE certificate or a sworn affidavit in case of a EME or QSE.

Bidders are therefore required to submit a B-BBEE improvement plan in view of the new B-BBEE Codes of Good Practice. Bidders must indicate the extent to which their ownership, management control, employment equity, preferential procurement and enterprise development will be maintained or improved over the contract period in the event that they are successful in this bid process.

**ANNEXURE 7: DISCLOSURE STATEMENT**

**In terms of the tender condition 8.6, which allows the IDC to conduct background checks on bidders and its shareholders and directors, the IDC hereby requires bidders to provide the following additional information:**

1. The IDC considers the integrity of its appointed service providers to be of critical importance. The IDC reserves the right to apply its objective criteria to award to any bidders whose integrity, based on past conduct (during the 5 years immediately preceding the bid submission date), it considers questionable.
2. To this end, the IDC requires each bidder to include in its bid, a disclosure statement which details the following (sufficient information and supporting documentation for the IDC to make its own assessment as to the materiality or seriousness of allegations regarding the bidder’s integrity or conduct): any criminal charges made against the bidder or any of its directors, shareholders, or management officials regarding their professional conduct;
  - 2.1. any civil proceedings initiated against the bidder or any of its directors, shareholders, or management officials regarding their professional conduct; and
  - 2.2. any other enquiry or similar proceedings initiated or threatened against the bidder or any of its directors, shareholders, or management officials regarding their professional conduct.
3. Where the bidder is a consortium, the disclosure statement referred to in paragraph 2.2 above must be made separately in respect of each consortium partner.
4. In the event that the bidder’s circumstances change, after submission of its bid, regarding any matter referred to in paragraph 2.2 above or in regard to any matter referred to in its disclosure statement, the bidder must submit a written notification to IDC indicating the nature and extent of such changed circumstances.
5. The IDC reserves the right to seek such additional information from any bidder, in respect of the disclosure statement referred to in paragraph 2.2 above, as it may, in its sole discretion, determine, whether such information has been requested under this RFP or otherwise, and may require the bidder to make oral presentations for clarification purposes or to present supplementary information, in respect of the disclosure statement if so required by the IDC.
6. Based on its own assessment of the contents of the bidder’s disclosure statement and any publicly available information which is relevant to the contents of such disclosure statement, the IDC will decide whether the bidder’s conduct or any allegations relating thereto pose a risk, reputational or otherwise, to the IDC; and if it reaches an adverse conclusion the IDC will in its sole discretion have the right not to award a contract or order.

**SIGNED**

**DATE**

\_\_\_\_\_

(Print name of signatory)

\_\_\_\_\_

Designation

\_\_\_\_\_

**FOR AND ON BEHALF OF:**

COMPANY NAME

\_\_\_\_\_

Tel No

\_\_\_\_\_

Fax No

\_\_\_\_\_

Cell No

\_\_\_\_\_

## **ANNEXURE 8: PRIVACY & PROTECTION OF PERSONAL INFORMATION ACT 4 OF 2013 REQUIREMENTS**

---

<b>Request for Proposal No:</b>	
<b>Name of Bidder:</b>	
<b>Authorised signatory:</b>	

Protecting personal information is important to the Industrial Development Corporation (IDC). To do so, IDC follows general principles in accordance with applicable privacy laws and the Protection of Personal Information Act 4 of 2013 (POPIA).

IDC's role as a responsible party, is amongst others to process personal information for the intended purpose for which it was obtained and in line with legal agreements with its respective/prospective clients, third parties, suppliers, and operators.

Who is an Operator? A person or body/ entity which processes personal information for the IDC in terms of a contract or mandate.

Who is a Supplier? a natural or juristic person that provides a product or renders a service to the IDC. A supplier could also be considered as an operator, an independent responsible party or (together with IDC) a joint responsible party.

If the supplier or business partner provides IDC with its related persons' personal information, the supplier or business partner warrants that the related persons are aware of and have consented to the sharing and processing of their personal information with/by IDC. IDC will process the personal information of related persons as stated under a contractual agreement or as required by any related legislation.

Examples of the personal information of the supplier or business partner where relevant may include (but are not limited to): financial information, including bank statements provided to the IDC; invoices issued by the supplier or business partner; the contract/ legal agreement between the IDC and the supplier or business partner; other identifying information, which includes company registration numbers, VAT numbers, tax numbers and contact details; marital status and matrimonial property regime (e.g. married in community of property); nationality; age; language; date of birth; education; financial history; identifying numbers (e.g. an account number, identity numbers or passport numbers); email address; physical address (e.g. residential address, work address or physical location); information about the location (e.g. geolocation or GPS location); telephone numbers; online and other unique identifiers; social media profile/s; biometric information (like fingerprints, facial recognition signature; race; gender; sex; criminal history).

Example of Special personal information is personal information about the following: - criminal behaviour, or any proceedings in respect of any offence allegedly committed by a data subject or the disposal of such proceedings; religious and philosophical beliefs; trade union membership; political beliefs; health, including physical or mental health, disability, and medical history; or biometric information (e.g. to verify identity).

### **RESPONSIBILITIES OF SUPPLIERS AND BUSINESS PARTNERS WHO ARE OPERATORS UNDER POPIA**

Where a supplier or business partner, in terms of a contract or mandate, processes personal information for the IDC and is considered an operator of the IDC, the supplier or the business partner will be required to adhere to the obligations set out in the IDC data privacy or POPIA policy. This policy sets out the rules of engagement in relation to how personal information is processed by suppliers and business partners on behalf of the IDC as well as the minimum legal requirements that IDC requires the suppliers and business partners to adhere to, including compliance with POPIA as summarised in the below table.

ITEM	GUIDING CONDITIONS FOR PROCESSING PERSONAL INFORMATION	YES	NO
1.	<p><b>Accountability</b></p> <p>The respective clients, third parties, suppliers and operators and its members will ensure that the provisions of POPIA, the guiding principles outlined in the policy and all the measures that give effect to such provisions are complied with at the time of the determination of the purpose and means of the processing and during the processing itself. In the event that an employee of the IDC or any person acting on behalf of the corporation who through their intentional or negligent actions and/or omissions fail to comply with the principles and responsibilities outlined, proper corrective measures will be applied.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
2.	<p><b>Processing Limitation</b></p> <p>The respective clients, third parties, suppliers and operators and its members will ensure that information is only processed for the justifiable reason and processing is compatible with the purpose of the collection.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
3.	<p><b>Purpose Specification</b></p> <p>All respective clients, third parties, suppliers and operators and its members will process personal information only for specific, explicitly defined, and legitimate reasons. The respective clients, third parties, suppliers and operators will inform IDC of reasons prior to collecting or recording their PI.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.	<p><b>Further Processing Limitation</b></p> <p>Personal information will not be processed for a secondary purpose unless that processing is compatible with the original purpose. Thus, where the respective clients, third parties, suppliers and operators seek to process personal information it holds for a purpose for which it was originally collected, and where this secondary purpose is not compatible with the original purpose, respective clients, third parties, suppliers and operators will first obtain additional consent from the IDC.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
5.	<p><b>Information Quality</b></p> <p>The respective clients, third parties, suppliers and operators will take reasonable steps to ensure that all personal information collected is complete, accurate and not misleading. Where PI is collected or received from third parties, the respective clients, third parties, suppliers and operators will take reasonable steps to confirm that the information is correct by verifying the accuracy of the information directly with the data subject or by way of independent sources.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
6.	<p><b>Open Communication</b></p> <p>Reasonable steps will be taken by the respective clients, third parties, suppliers and operators to ensure that the IDC is notified of the purpose for which the information is being collected, used, and processed.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>

ITEM	GUIDING CONDITIONS FOR PROCESSING PERSONAL INFORMATION	YES	NO
7.	<b>Security Safeguards</b> It is a requirement of POPIA for responsible parties, business partners and operators to adequately protect personal information. IDC will need to review suppliers or business partner security controls and processes to ensure that personal Information is compliant with the conditions of the lawful processing of personal information as set out in the POPIA. This would be a continuous monitoring and review that will be conducted by the IDC at its discretion.	Yes <input type="checkbox"/>	No <input type="checkbox"/>
8.	<b>Data Subject Participation</b> A data subject whose PI has been collected, stored, and processed by the respective clients, third parties, suppliers and operators must have communication channels to attend to may request for the correction or deletion of such information.	Yes <input type="checkbox"/>	No <input type="checkbox"/>

I, \_\_\_\_\_ (print name) hereby certify that the information, facts, and representations are correct and that I am duly authorized to sign on behalf of the company.

Name of Company/ Entity:

\_\_\_\_\_

Company/ Entity Registration Number:

\_\_\_\_\_

Company/ Entity VAT Registration Number:

\_\_\_\_\_

\_\_\_\_\_  
Signature (Company/ Entity Representative)

\_\_\_\_\_  
Date